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# Study of the topological equivalence of $\mathcal{K}$ -equivalent map germs

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This is a summary of some of the author's recent study ([7], [8], [9]). Although we explain the essential idea fully in §1, it is desirable that readers should refer to [7], [8] and [9] for more details.

So far we have had only one method, which is the following (\*), to obtain the topological equivalence for given two  $C^\infty$  map germs.

(\*) For given two  $C^\infty$  map germs  $f, g : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^p, 0)$ , take an appropriate one parameter family  $F : (\mathbf{R}^n \times [0, 1], \{0\} \times [0, 1]) \rightarrow (\mathbf{R}^p, 0)$  such that  $F(x, 0) = f(x)$  and  $F(x, 1) = g(x)$ . Then, prove that  $F$  is in fact topologically trivial.

Thus, it is significant to give an alternative systematic method for the topological classification even in a single  $\mathcal{K}$ -orbit, which is the purpose of this study.

A  $C^\infty$  deformation germ  $\Phi : (\mathbf{R}^n \times \mathbf{R}^k, (0, 0)) \rightarrow (\mathbf{R}^p, 0)$  of  $f$  is said to be *Thom trivial* (resp. *transversely Thom trivial*) if there exist  $C$ -regular stratifications in the sense of Bekka ([1])  $\mathcal{S}$  of  $\mathbf{R}^n \times \mathbf{R}^k$ ,  $\mathcal{T}$  of  $\mathbf{R}^p \times \mathbf{R}^k$  and  $\{\mathbf{R}^k\}$  of  $\mathbf{R}^k$  such that the following (T1) and (T2) (resp. (T1), (T2) and (T3)) hold:

(T1) the map germ

$$(\Phi, \pi) : (\mathbf{R}^n \times \mathbf{R}^k, (0, 0)) \rightarrow (\mathbf{R}^p \times \mathbf{R}^k, (0, 0))$$

is a Thom map germ with respect to  $\mathcal{S}$  and  $\mathcal{T}$ .

(T2) the map germ

$$\pi' : (\mathbf{R}^p \times \mathbf{R}^k, (0, 0)) \rightarrow (\mathbf{R}^k, 0)$$

is a stratified map germ (or equivalently in this case, a Thom map germ) with respect to  $\mathcal{T}$  and  $\{\mathbf{R}^k\}$ .

(T3)  $T_0$  is transeverse to  $\{0\} \times \mathbf{R}^k (\subset \mathbf{R}^p \times \mathbf{R}^k)$ , where  $T_0$  is the stratum of  $\mathcal{T}$  which contains the origin  $(0, 0)$  of  $\mathbf{R}^p \times \mathbf{R}^k$ .

For given two  $C^\infty$  map germs  $f, g : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^p, 0)$ , we consider the following four conditions.

- (i)  $f$  and  $g$  are topologically equivalent.
- (ii) There exist a germ of  $C^\infty$  diffeomorphism  $s : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^n, 0)$  and a  $C^\infty$  map germ  $M : (\mathbf{R}^n, 0) \rightarrow (GL(p, \mathbf{R}), M(0))$  such that the following (a), (b) and (c) are satisfied.

$$(a) \quad f(x) = M(x)g(s(x)),$$

- (b) The  $C^\infty$  map germ  $F : (\mathbf{R}^n \times \mathbf{R}^p, (0, 0)) \rightarrow (\mathbf{R}^p, 0)$  given by

$$F(x, \lambda) = f(x) - M(x)\lambda$$

is a Thom trivial deformation germ of  $f$ ,

- (c) The  $C^\infty$  map germ  $G : (\mathbf{R}^n \times \mathbf{R}^p, (0, 0)) \rightarrow (\mathbf{R}^p, 0)$  given by

$$G(x, \lambda) = g(x) - M(s^{-1}(x))^{-1}\lambda$$

is a Thom trivial deformation germ of  $g$ .

- (iii) There exist a germ of  $C^\infty$  diffeomorphism  $s : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^n, 0)$  and a  $C^\infty$  map germ  $M : (\mathbf{R}^n, 0) \rightarrow (GL(p, \mathbf{R}), M(0))$  such that (a), (b) of (ii) are satisfied.

- (iv) There exist a germ of  $C^\infty$  diffeomorphism  $s : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^n, 0)$  and a  $C^\infty$  map germ  $M : (\mathbf{R}^n, 0) \rightarrow (GL(p, \mathbf{R}), M(0))$  such that the following (a), (b) are satisfied.

$$(a) \quad f(x) = M(x)g(s(x)),$$

- (b) The  $C^\infty$  map germ  $F : (\mathbf{R}^n \times \mathbf{R}^p, (0, 0)) \rightarrow (\mathbf{R}^p, 0)$  given by

$$F(x, \lambda) = f(x) - M(x)\lambda$$

is a transversely Thom trivial deformation germ of  $f$ .

A  $C^\infty$  map germ  $f : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^p, 0)$  is said to be *MT stable* if the jet extension of it is multi-transverse to the Thom-Mather canonical stratification of the jet space. As a consequence, every  $C^\infty$  deformation germ of  $f$  is Thom trivial (see [4], [6]). Thus, the condition (ii) is a generalization of the assumption of the following well-known theorem ([3]).

**Theorem 0.1 (M. Fukuda and T. Fukuda)** *Let  $f, g : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^p, 0)$  be MT stable map germs. Suppose that there exist a germ of  $C^\infty$  diffeomorphism  $s : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^n, 0)$  and a  $C^\infty$  map germ  $M : (\mathbf{R}^n, 0) \rightarrow (GL(p, \mathbf{R}), M(0))$  such that*

$$f(x) = M(x)g(s(x)).$$

*Then, they are topologically equivalent.*

We can see that not only for MT-stable map germs but also for examples of Looijenga [5] and Damon [2] every  $C^\infty$  deformation of them are Thom trivial. Thus, the following theorem 0.2 really generalizes theorem 0.1. Note that theorem 0.2 holds without any special assumptions.

**Theorem 0.2** ([8]) *The condition (ii) implies the condition (i).*

Next, we consider the condition (iii). Before giving the result, let us investigate one example.

**Example** Let  $f(x, y) = (x, y^3 + xy)$ ,  $g(x, y) = (x, y^3)$  and

$$M(x, y) = \begin{bmatrix} 1 & 0 \\ y & 1 \end{bmatrix}.$$

Then,

$$f(x, y) = M(x, y)g(x, y).$$

Since  $f$  is  $C^\infty$  stable, the deformation

$$F(x, y) = f(x, y) - M(x, y) \begin{bmatrix} \lambda_1 \\ \lambda_2 \end{bmatrix}$$

is  $C^\infty$  trivial, so Thom trivial because (2,2) is in the nice range.

However, it is easy to see that  $f$  and  $g$  are not topologically equivalent.

This example shows that the condition (iii) does not necessarily imply the topological equivalence. Nevertheless, we can show the following.

**Theorem 0.3** ([8]) *Let  $f, g : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^p, 0)$  be  $C^\infty$  map germs with rank zero. Then, the condition (iii) implies the condition (i).*

Although theorems 0.2 and 0.3 explain some topological structure in a  $\mathcal{K}$ -orbit and are interesting by themselves, unfortunately it is a little difficult to use them thoroughly. We would like to have results which are more easy to use. In order to answer our request, the condition (iv) was introduced.

**Theorem 0.4** ([9]) *The condition (iv) implies the condition (i).*

By using theorem 0.4, we can show the following.

**Theorem 0.5** ([9]) *Let  $f : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^p, 0)$  be an Thom-stable map germ. Suppose that  $tf(\theta(n)) + f^*m_p\theta(f)$  contains  $m_n^k\theta(f)$ . Then  $f$  is topologically determined of order  $2k$ .*

Theorem 0.5 improves the following Gaffney's result.

**Theorem 0.6** ([10]) *Let  $f : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^p, 0)$  be an MT-stable map germ. Suppose that  $tf(\theta(n)) + f^*m_p\theta(f)$  contains  $m_n^k\theta(f)$ . Then  $f$  is topologically determined of order  $2k + 1$ .*

# 1 Strategy

In this section, we explain the essential idea of proofs of theorems 0.2, 0.3 and 0.4.

Let  $f : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^p, 0)$  be a  $C^\infty$  map germ and  $\Phi : (\mathbf{R}^n \times \mathbf{R}^k, (0, 0)) \rightarrow (\mathbf{R}^p, 0)$  be a  $C^\infty$  deformation germ of  $f$ . Suppose that there exists a  $C^0$   $\mathcal{A}$ -morphism from  $\Phi$  to  $f$ . Then, by the definition of  $C^0$   $\mathcal{A}$ -morphism, there exists a  $C^0$  map germs  $h : (\mathbf{R}^n \times \mathbf{R}^k, (0, 0)) \rightarrow (\mathbf{R}^n \times \mathbf{R}^k, (0, 0))$ ,  $H : (\mathbf{R}^p \times \mathbf{R}^k, (0, 0)) \rightarrow (\mathbf{R}^p \times \mathbf{R}^k, (0, 0))$  and  $\varphi : (\mathbf{R}^k, 0) \rightarrow (\mathbf{R}^k, 0)$  such that the following (1.1) and (1.2) hold.

(1.1) For any representatives  $\tilde{h}$  of  $h$  and  $\tilde{H}$  of  $H$ , there exist neighborhoods  $U$  of the origin in  $\mathbf{R}^n$ ,  $V$  of the origin in  $\mathbf{R}^k$  and  $W$  of the origin in  $\mathbf{R}^p$  such that the restrictions  $\tilde{h}|_{U \times \{\lambda\}}$  and  $\tilde{H}|_{W \times \{\lambda\}}$  are homeomorphisms for any  $\lambda \in V$ .

(1.2) The following diagram commutes..

$$\begin{array}{ccccc} (\mathbf{R}^n \times \mathbf{R}^k, (0, 0)) & \xrightarrow{(\Phi, \pi_\lambda)} & (\mathbf{R}^p \times \mathbf{R}^k, (0, 0)) & \xrightarrow{\pi_\lambda} & (\mathbf{R}^k, 0) \\ \downarrow h & & \downarrow H & & \downarrow \varphi \\ (\mathbf{R}^n \times \mathbf{R}^k, (0, 0)) & \xrightarrow{(f, \pi_\lambda)} & (\mathbf{R}^p \times \mathbf{R}^k, (0, 0)) & \xrightarrow{\pi_\lambda} & (\mathbf{R}^k, 0) \end{array}$$

By (1.2), we may write

$$h = (h_1, \varphi) \quad \text{and} \quad H = (H_1, \varphi).$$

Let  $\varphi'_H : (\mathbf{R}^k, 0) \rightarrow (\mathbf{R}^p, 0)$  be the  $C^0$  map germ given by

$$(1.3) \quad \varphi'_H(\lambda) = H_1(0, \lambda).$$

The map germ (1.3) is the key in this study. We set also  $h' : (\mathbf{R}^n \times \mathbf{R}^k, (0, 0)) \rightarrow (\mathbf{R}^n \times \mathbf{R}^p, (0, 0))$  as

$$h'(x, \lambda) = (h_1(x, \lambda), \varphi'_H(\lambda))$$

and  $H' : (\mathbf{R}^n \times \mathbf{R}^k \times \mathbf{R}^p, (0, 0, 0)) \rightarrow (\mathbf{R}^n \times \mathbf{R}^p \times \mathbf{R}^p, (0, 0, 0))$  as

$$H'(x, \lambda, y) = (h'(x, \lambda), H_1(y, \lambda) - \varphi'_H(\lambda)).$$

Then we can show that  $\{h', H', \varphi'_H\}$  is a  $C^0$   $\mathcal{K}$ -morphism from  $\Phi$  to  $F$ , where  $F$  is the graph deformation of  $f$  given by  $F(x, y) = f(x) - y$  (see [9]).

Returning to our situation, we let  $f, g : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^p, 0)$  be  $C^\infty$  map germs. We suppose that there exist a germ of  $C^\infty$  diffeomorphism  $s : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^n, 0)$  and a  $C^\infty$  map germ  $M : (\mathbf{R}^n, 0) \rightarrow (GL(p, \mathbf{R}), M(0))$  such that  $f(x) = M(x)g(s(x))$ . We concentrate on considering the following  $C^\infty$  deformation germ of  $f$

$$(1.4) \quad f(x) - M(x)\lambda.$$

This deformation germ is linear with respect to parameter variables. Remark that the parameter space of (1.4) is  $p$ -dimensional. Thus, if there exists a  $C^0$   $\mathcal{A}$ -morphism  $\{h, H, \varphi\}$  from  $\Phi$  to  $f$ , then the map germ (1.3) is a map germ between the same dimensional space.

Next, we suppose furthermore that the deformation germ (1.4) is  $C^0$  trivial. Then, of course there exists a  $C^0$   $\mathcal{A}$ -morphism  $\{h, H, \varphi\}$  from  $\Phi$  to  $f$ . Thus, from the above argument we see that there exists a  $C^0$   $\mathcal{K}$ -morphism  $\{h', H', \varphi'_H\}$  from (1.4) to the graph deformation  $F$  of  $f$ . In particular, we have the following equality.

$$f(h_1(x, g(s(x)))) = H_1(0, g(s(x)))$$

Finally, we can show the following (see [8]).

**Lemma 1.1** *If the map germ (1.3) is a germ of homeomorphism, then the endomorphism germ of  $(\mathbf{R}^n, 0)$  given by*

$$x \mapsto h_1(x, g(s(x)))$$

*is also a germ of homeomorphism.*

Thus, we see that

**Lemma 1.2** *If the map germ (1.3) is a germ of homeomorphism, then  $f$  and  $g$  are topologically equivalent.*

By this strategy, theorems 0.2, 0.3 and 0.4 are proved.

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